AFFIDAVIT OF MICHELLE RATCHFORD

STATE OF TEXAS

§ §

COUNTY OF BEXAR

§



- I, Michelle Ratchford, do hereby aver and state that:
- 1. I am over the age of 18;
- 2. I have a M.S. degree and a B.S. degree in Chemistry from The University of Texas at San Antonio;
- 3. I have been employed by Southwest Research Institute ("SRI") for 16 years. SRI is an independent, nonprofit, applied engineering and physical sciences research and development organization. For the past thirteen years, I have been in the Fuel Conformance Section of the Petroleum Products Research Department. For the past three years, I have been Manager of Fuel Conformance. Fuel Conformance is responsible for providing analytical and physical testing and the evaluation and qualification of fuels procured by contractors in the field for our clients which include major oil corporations.
- 4. Clients of SRI requested Fuels Conformance to characterize their gasoline blends in June, 1992. Our assignment included the testing of certain physical and chemical properties of such gasoline fuels in selected areas of the United States. The report of our findings is set forth in Exhibit A and Exhibit B. Copies of Exhibit A and Exhibit B are attached. I have reviewed Exhibit A and Exhibit B and am familiar with the entire report from which these Exhibits are excerpted.
- 5. The first datapoint in Exhibit B and the second datapoint of Exhibit A report a gasoline fuel containing 9.2 volume percent ethanol and exhibiting a Reid Vapor Pressure (RVP) of 6.7. This datapoint is an outlier and one versed in the field of gasoline fuels would readily conclude that this datapoint is an outlier for the June, 1992 time period. An outlier is a piece of data that appears to not belong within the range of data being reported. The cause of the outlier cannot now be determined but could be attributable to instrumentation error, keypunch error, transcription error or sample contamination. My conclusion that the datapoint is an outlier is supported by the fact that no other sample tested in the study having an ethanol content of 8.3%

or greater had a RVP of less than 8.9. As such, the RVP for this gasoline fuel is an outlier and outside of the range of data that would have been anticipated for the June, 1992 time period.

6. FURTHER AFFIANT sayeth not.

DATED: June _____, 2001.

Michelle Ratchford

STATE OF TEXAS

8

COUNTY OF BEXAR

8

BEFORE ME, the undersigned authority, on this day personally appeared Michelle Ratchford, known to me to be the person whose name is subscribed to the foregoing instrument; and acknowledged to me under oath that she executed the foregoing document.

SUBSCRIBED AND SWORN TO BEFORE ME on this the _____ day of June, 2001.

Printed Name:

NOTARY PUBLIC IN AND FOR THE STATE OF TEXAS

Exhibit A

ď,	
RXHIBIT	

1992

JUNE ASTK D-86 DISTILLATION

GASOLINE DATA

Gasoline Data from a Third Party, June 1992

					_			
	RVP	ETOH VOL%	CITY_NAME	COMPANY_NAME	GRADE	T10 (F)		
REDACTED	6.7	9.2	REDACTED	REDACTED	MU		(F) 178	
REDACTED	6.9	_		REDACTED		139		309
REDACTED	6.9	9.9		REDACTED	MU		217	
REDACTED	6.9	9.9		REDACTED	PU		219	
REDACTED	7	5.7		REDACTED	MU		195	
REDACTED	7	5.7		REDACTED	PU		201	
REDACTED	7	5.8	REDACTED	REDACTED	· MU		200	305
REDACTED	7	5.7		REDACTED	PU			
REDACTED	7	5.7 5.8	REDACTED	REDACTED	RU	132 126		300
REDACTED	7	9.6	REDACTED	REDACTED	PU	.139		303
REDACTED	7	10	REDACTED	REDACTED	MU		223 217	
REDACTED	7	10.1	REDACTED	REDACTED	RU		208	
REDACTED	7.1	9.6	REDACTED	REDACTED	MU	123		
REDACTED	7.1	8.4	REDAÇTED	REDACTED	MU		178	
REDACTED	7.1	8.7	REDACTED	REDACTED	PU		197	
REDACTED	7.1	8.6	REDACTED	REDACTED	RU RU		207	
REDACTED	7.1	5.7	REDACTED			140	194	311
REDACTED	7.1	10.1	REDACTED	REDACTED	RU	134	197	
REDACTED	7.2	9.6	REDACTED	REDACTED	RU		218	
REDACTED	7.2	10.1	REDACTED	REDACTED REDACTED	RU		187	
REDACTED	7.2	7.7	REDACTED	REDACTED	MU			335
REDACTED	7.2	10.1	REDACTED		PU			361
REDACTED	7.2	10.1	REDACTED	REDACTED REDACTED	MU	132		
REDACTED	7.3	7.7	REDACTED	REDACTED	RŲ PU	135		
REDACTED	7.3	7.4	REDACTED	REDACTED	PU			325
REDACTED	7.3	7.2	REDACTED	REDACTED	PU			323
REDACTED	7.3	7.1	REDACTED	REDACTED	RU			345
REDACTED	7.4	9	REDACTED	REDACTED	PU			348
REDACTED	7.4	9.3	REDACTED	REDACTED	MU		239 212	328
REDACTED	7.5		REDACTED	REDACTED	PU		212 218	
REDACTED	7.5		REDACTED	REDACTED	RL			3 4 0 331
REDACTED	7.5		REDACTED	REDACTED	MU			349
REDACTED	7.5		REDACTED	REDACTED	PU	136		
REDACTED	7.5		REDACTED	REDACTED	MU		203 ·	
REDACTED	7.5		REDACTED	REDACTED	PU	140		
REDACTED	7.5		REDACTED	REDACTED			228 217	32 I
REDACTED	7.6		REDACTED	REDACTED			217 200 :	205
REDACTED	7.6		REDACTED	REDACTED		136		
REDACTED	7.6		REDACTED	REDACTED		138		
REDACTED	7.6		REDACTED	REDACTED		137		
REDACTED	7.6		REDACTED	REDACTED				
REDACTED	7.6		REDACTED	REDACTED		137 2	190	
REDACTED	7.6		REDACTED	REDACTED				
REDACTED	7.6		REDACTED	REDACTED			187 :	
REDACTED	7.6		REDACTED	REDACTED			197 3	
REDACTED	7.6		REDACTED	REDACTED	RU		198 3 199 3	
REDACTED	7.6		REDACTED	REDACTED		137 2		
REDACTED	7.6		REDACTED	REDACTED		139 2		
	7.6		REDACTED	REDACTED		134 2		
REDACTED	7.6		REDACTED	REDACTED		139 2		
			·			4		

Exhibit B

tion utilizing Anderson in that capacity is unnecessary.

The decision of the board is affirmed.

Affirmed.

ALMOND, J., took no part in the consideration or decision of this case.



St CCPA
Application of Harry Louis YALE.
Patent Appeal No. 8368.

United States Court of Customs and Patent Appeals. Dec. 10, 1970.

Proceeding on appeal from decision of the Patent Office Board of Appeals, Serial No. 554,671 affirming rejection of claims 1-10 of application entitled "Inhalation Anesthetic." The Court of Customs and Patent Appeals, Almond, J., held that where listing of CF2 CF2 CHCIBr in article pertaining to anesthetics was an error obvious to one of ordinary skill in the art, that reference did not provide a valid disclosure of the compound, and thus it was improper to reject claims 1-10 of application relating to chemical compound which had formula CFs CFs CHClBr and which was useful as an inhalation anesthetic as being unpatentable.

Reversed.

Patents \$\infty 68(1,12)

Where listing of CF₂ CF₂ CHClBr in article pertaining to anesthetics was an error obvious to one of ordinary skill in the art, that reference did not provide a valid disclosure of the compound, and

thus it was improper to reject claims 1-10 of application relating to chemical compound which had formula CF₂ CF₂ CHClBr and which was useful as an inhalation anesthetic as being unpatentable over prior art. 35 U.S.C.A. § 103.

Lawrence S. Levinson, New Brunswick, N. J., attorney of record, for appellant; Robert Alpher, New York City, Theodore J. Criares and Merle J. Smith. New Brunswick, N. J., of counsel.

S. Wm. Cochran, Washington, D. C., for the Commissioner of Patents; Jack E. Armore; Washington, D. C., of counsel.

Before RICH, ALMOND, BALDWIN and LANE, Judges, and RE, Judge, United States Customs Court, sitting by designation.

ALMOND, Judge.

This is an appeal from the decision of the Patent Office Board of Appeals, adhered to on reconsideration, affirming the rejection of claims 1-10 of appellant's application entitled "Inhalation Anesthetic." 1 No claims have been allowed.

The invention relates to a chemical compound, 8-bromo-3-chloro-1,1,1,2,2pentafluoropropane, which has the formula CF3 CF2 CHClBr. Azeotropic mixtures of the compound with diethyl ether, as well as mixtures of the compound with a preservative such as thymol, are disclosed. The compound or its azeotrope, with or without a preservative added, is useful as an inhalation anesthetic and may be administered with a source of oxygen. Claim 1 recites the compound; claim 2 is for the compound in pure form; claim 3 covers an azeotropic mixture; claims 4-5 cover a mixture of the compound with a preservative; and claims 6-10 are drawn to the method of inducing anesthesia with the comnound.

 Serial No. 554,671 filed June 2, 1966 as a continuation-in-part of serial No. 865,178 filed blay 5, 1964.

APPLICATION OF YALE Cite as 434 F.2d 666 (1970)

667

The references relied upon were:

Suckling et al.
(Suckling) 3,097,133 July 9, 1963
Muray et al.
(Muray) 3,177,260 April 6, 1965
Clements et al. (Clements), Proc. Nat'l Acad. of
Scl., Vol. 48, 1962, pages 1008–1014.
Chemical Abstracts, Vol. 58, April 1963,

The Clements article reports the results of research conducted to determine how strongly inert anesthetic gases interact with surface films on water. Fig. 3 shows a graph of Log PA vs. Log Pt for agents for anesthesia in mice. CF₃ CF₅ CHClBr is one of the nine compounds plotted on the graph. This compound is not mentioned anywhere else in the article and is not plotted on any of the other graphs.

7264(h)-7265(a).

Suckling discloses inhalant aneathetic compositions consisting of fluorinated hydrocarbons, but not CF₅ CF₂ CHClBr. The aneathetic may be administered with a source of oxygen; it may be mixed with a stabilizer such as thymol, as well as with another inhalant aneathetic such as ether.

Muray shows that other halogenated hydrocarbons have been made by the reaction of a halogen with a less halogenated starting material, the general method used for preparing appellant's compound; and the Chemical Abstract reference shows that the starting materials used in preparing appellant's compound are known.

All the claims were rejected under 85 U.S.C. § 103 as unpatentable over Clements in view of Suckling. The examiner relied upon the disclosure of the formula CF₃ CF₂ CHClBr in Fig. 3 of the Clements article pertaining to anesthetics; and with respect to the admixtures of claims 3–5 and the administration techniques of claims 6–10, he referred to the Suckling patent. The Board of Appeals affirmed that rejection.

Appellant apparently does not take issue with the obviousness of the combination of references if Clements is found to be a valid reference with regard to the disclosure of CF₂ CF₃ CHClBr. It is

appellant's main contention that the listing of CF, CF, CHClBr in Clements was a typographical error. Appellant argues that it would be obvious to one of ordinary skill in the art that the reference to CF2 CF2 CHClBr in Clements is an error since (1) only known compounds were discussed in the article; (2) all the compounds tested were plotted as to Log Pr in Fig. 1; (3) CFs CFz CHClBr in Fig. 3 is the only compound listed in any figure which is not also listed in Fig. 1, and (4) the Log P, in Fig. 8 at which CF₃ CF₂ CHClBr is plotted is the Log P, for CF, CHClBr in Fig. 1 and the two compounds are not likely to have the same Log Pr. In addition, appellant submitted two letters, one of which was from a chemist named Dr. Hofmann to Kenneth M. Wilson, the co-author of the Clements article, inquiring whether the listing of CF2 CF2 CHCIBr was a typographical error. The response from Wilson stated:

Figure 3 of the paper by Dr. J. A. Clements and myself is, of course, an error as you suppose and CF₃ CF₂ CHClBr should read CF₃ CHClBr.

It is appellant's position that since the listing of CF₃ CF₂ CHClBr in Clements is clearly an error, that reference does not provide a valid disclosure of the compound.

The board considered appellant's arguments in this regard but found them unpersuasive. It stated that there was no showing that CF3 CHClBr and CF3 CF2 CHClBr could not have the same Log Pt value, nor did it find any indication in the article that all of the compounds listed in Fig. 3 were meant to correspond to the compounds listed in Fig. 1. In regard to the Hofmann-Wilson letters, the board refused to accept them as evidence on the ground that more authentication is required than the mere filing of copies of letters. It was then stated by the board that even if the asserted error were properly established, such error would not serve to avoid the rejection under the particular circumstances of the instant case.

Appellant responded to the board's decision with a request for reconsideration and an affidavit by Dr. Hofmann which, among other things, identified the previously filed correspondence. The board accepted the affidavit only to the extent that it identified such correspondence, but adhered to its previous decision on the ground that, even assuming an error to exist, the reference is still good since in a printed publication under 35 U.S.C. § 102(b) there is no need for actual possession of the described compound by the author. Actually, all the claims were rejected under 35 U.S.C. § 103, and thus the reference was viewed as to what it reasonably taught to those of ordinary skill in the art. It was the board's position that the error in Clements is not apparent on the face of the document and one of ordinary skill in the art, not knowing of the error, would be taught that CF2 CF2 CHCIBr is an anesthetic. In addition, it was felt that the Muray and Chemical Abstract references indicate that the chemist of ordinary skill would know from the available art how to make the compound. No claims were rejected over the Muray and Chemical Abstract references alone, but rather those references were used with Clements in accordance with a doctrine which arose in In re Von Bramer, 127 F.2d 149, 29 CCPA 1018 (1942).

The Von Bramer doctrine has been discussed by us at length on several occasions, one being In re Brown, 329 F.2d 1006, 51 CCPA 1254 (1964), which is relied upon by appellant and which states (at 1010 of 829 F.2d, at 1259 of 51 CCPA):

To the extent that anyone may draw an inference from the Von Bramer case that the mere printed conception or the mere printed contemplation which constitutes the designation of a "compound" is sufficient to show that such a compound is old, regardless of whether the compound is involved in a 35 U.S.C. § 102 or 35 U.S.C. § 103 rejection, we totally disagree. [Footnotes omitted.] * *

We think, rather, that the true test of any prior art relied on to show or suggest that a chemical compound is old, is whether the prior art is such as to place the disclosed "compound" in the possession of the public. [Citations omitted.]

Applying this test, the question here is not whether the listing of CF₂ CF₂ CHClBr in Clements, if it were an error, evidenced a conception of the compound, for surely it did not. Rather, the question is whether the listing of CF₂ CF₂ CHClBr describes to one of ordinary skill in the art the compound and whether one of ordinary skill in the art would know how to make the compound so that the mere listing of the compound places it in the possession of the public.

The solicitor cites In re Land, 324 F.2d 312, 51 CCPA 781 (1963), and In re Pio, 217 F.2d 956, 42 CCPA 746 (1954), as controlling in this case. However, those decisions, like In re Von Bramer, supra, merely stand for the proposition that the disclosed suggestion, whether or not workable or even intended at the time disclosed, made the invention obvious to one of ordinary skill in the art. In other words, the disclosed suggestion put one of ordinary skill in the art in possession of all the aspects of the invention since he would know how to implement the invention from the mere suggestion.

The Patent Office believes this to be one of those situations since Muray and the Chemical Abstract references indicate that the chemist of ordinary skill in the art would know how to make CF₃ CF₂ CHClBr. We find it unnecessary in the Von Bramer-type analysis to determine whether one of ordinary skill in the art would know how to make the compound since we do not believe the ordinary chemist would even consider making the compound.

It is our opinion that not only is the listing of CF₂ CF₂ CHClBr in Clements a typographical error but also this fact would be apparent to one of ordinary skill in the art when reading the Clements article. Since it is an obvious error,

skill than CHC: tute the will error an acordin how ply will proce to ma

it ca

have **93 2T** Ap révie out t appar CHCI these we be the a: ical (one w sister ents. . Clem. ten d listed of Fi comp: CHCI no co ted el differ on it. apom: pounc CHCl. have was l excep which has t Fig. doubt in th

Ou the e the c

CF₃ (

3 anc

it cannot be said that one of ordinary skill in the art would do anything more than mentally disregard CF₃ CF₂ CHClBr as a misprint or mentally substitute CF₂ CHClBr in its place. Certainly he would not be led by the typographical error to use the erroneous compound as an anesthetic even if as a chemist of ordinary skill in the art he would know how to prepare the compound. He simply would not get so far in the thought process as to determine if he knew how to make CF₂ CF₂ CHClBr, as it would have long since been discarded by him as an obvious typographical error.

Appellant has gone through a detailed review of the Clements article to point out the inconsistencies which make it apparent that the listing of CF2 CF2 CHCIBr is an error. Any number of these individually or cumulatively would, we believe, alert one of ordinary skill in the art to the existence of the typographical error. Suffice it to say that the one we immediately noted was the inconsistency between Figs. 1 and 3 of Clements. The anesthetic gases tested by Clements are not mentioned in the written discussion; rather, they all are only listed in Table 1 or plotted on the graphs of Figs. 1-5. Only Fig. 1 plots all the compounds except, of course, CF₈ CF₂ CHCIBr. Except for CF2 CF2 CHCIBr, no compound not listed in Fig. 1 is plotted elsewhere, although each graph has a different number of compounds plotted on it. A comparison of Fig. 3 and Fig. 1 shows that eight out of the nine compounds in Fig. 3 (except CF2 CF2 CHClBr) appear in Fig. 1. All eight have the identical Log Pi in Fig. 3 that was listed for them in Fig. 1. The only exception to this is CF3 CF2 CHClBr which was not listed in Fig. 1 and which has the Log Pr which was assigned in Fig. 1 to CF₂ CHClBr. We have no doubt that the chemist of ordinary skill in the art would readily recognize that CF2 CF2 CHClBr does not belong in Fig. 3 and must be an error.

Our conclusion as to the obviousness of the error in Clements is supported by the correspondence between Dr. Hof-

mann and the co-author of the Clements article, Kenneth Wilson. No question has been raised concerning the admissibility of the correspondence since the board considered the correspondence and explicitly accepted the affidavit of Dr. Hofmann to the extent that it identified the correspondence. However, the board did question the probative weight of this evidence because it had not been sworn to. In our opinion, while this may be said to detract to some extent from the weight of the evidence, the belief of Dr. Hofmann and Mr. Wilson, as indicated in the correspondence, that the listing of CF3 CF2 CHClBr was an obvious typographical error and the inconsistencies in the Clements article itself, as pointed out above, make it clear that the error in Clements would be apparent to one of ordinary skill in the art.

Since the listing of CF₂ CF₂ CHClBr in Clements is an error obvious to one of ordinary skill in the art, it cannot be said to describe or suggest that compound to those in the art. The public is not put in possession of the compound; thus, it would not be obvious to use it as an inhalant anesthetic as in Suckling or to mix it with the various other compounds which are mixed with the fluorinated hydrocarbons of Suckling.

Therefore, the decision of the board is reversed.

Reversed.



58 CCPA

Application of Conrad O. GARDNER.
Patent Appeal No. 8889.

United States Court of Customs and Patent Appeals. Dec. 10, 1970.

Proceeding in matter of application for patent. The Board of Appeals of United States Patent Office, Serial No. 589,636, affirmed rejection of claims 11, INSTRUCTIONS: All material in this supplement is keyed to SECTIONS and SUBSECTIONS of the main volume. References to footnotes already in the volume are preceded by an "N." New footnotes do not have an "N."

CHISUM ON PATENTS

A TREATISE ON THE LAW OF PATENTABILITY, VALIDITY, AND INFRINGEMENT

JULY 2000 CUMULATIVE SUPPLEMENT

VOLUME 3

Professor of Law Santa Clara University Santa Clara, California

2000

LEXIS Publishing"

LEXIS NEXIS - MARTINDALE - HUBBELL*
MATTHEW BENDER - MICHIE' - SHEPARDS

\$ 7.04[1][c]

2000 CUMULATIVE SUPPLEMENT

47

understanding of the law and are believed to be fully consistent with binding precedent of the Supreme Court, the Federal Circuit, and the Federal Circuit's predecessor courts.

These guidelines do not constitute substantive rulemaking and hence do not have the force and effect of law. They are designed to assist Office personnel in analyzing claimed subject matter for compliance with substantive law. Rejections will be based upon the substantive law. and it is these rejections which are appealable. Consequently, any failure by Office personnel to follow the guidelines is neither appealable nor petitionable.

These guidelines are intended to form part of the normal examination process. Thus, where Office personnel establish a prima facie case of lack of written description for a claim, a thorough review of the prior art and examination on the merits for compliance with the other statutory requirements, including those of 35 U.S.C. 101, 102, 103, and 112, is to be conducted prior to completing an Office action which includes a rejection for lack of written description.

Office personnel are to rely on these guidelines in the event of any inconsistent treatment of issues involving the written description requirement between these guidelines and any earlier guidance provided from the Office. Although these guidelines address examples principally drawn from the biotechnological arts, they are intended to be equally applicable to all fields

I. General Principles Governing Compliance with the 'Written Description' Requirement for Applications

The first paragraph of 35 U.S.C. 112 requires that the 'specification shall contain a written description of the invention * * * * This requirement is separate and distinct from the enablement requirement. This written description requirement has several policy objectives. '[T]be "essential goal" of the description of the invention requirement is to clearly convey the information that an applicant has invented the subject matter which is claimed.'8 Another objective is to put the public in possession of what the applicant claims as the invention. The written description requirement prevents an applicant from claiming subject matter that was not described in the specification as filed, and the proscription against the introduction of new matter in a patent application ⁶ serves to prevent an applicant from adding information that goes beyond the subject

To satisfy the written description requirement, a patent specification must describe the claimed invention in sufficient detail that one skilled in the art can reasonably conclude that the inventor had possession of the claimed invention. This requirement of the Patent Act promotes the progress of the aseful arts by ensuring that patentees adequately describe their inventions in their patent specifications for the benefit of the public in exchange for the right to exclude others from practicing the invention for the duration of the patent's term.

II. Evaluate Whether The Application Complies With the 'Written Description' Requirement

The inquiry into whether the description requirement is met must be determined on a case-bycase basis and is a question of fact. The examiner has the initial burden of presenting evidence or reasons why a person skilled in the art would not recognize in an applicant's disclosure a description of the invention defined by the claims. 10 Office personnel should adhere to the following procedures when reviewing patent applications for compliance with the written description requirement of 35 U.S.C. 112, 1.

A. Review the Entire Application To Determine What Applicant has Invented, the Field of the Invention and the Level of Predictability in the Art

Prior to determining whether the claims satisfy the written description requirement, Office personnel should review the entire specification, including the specific embodiments, figures,

API PUBLICATION 4261

And

ALCOHOLS AND ETHERS

JUN. 22. 2001 3:37PM --- SOPC FUELS

710.289---P.3∕4

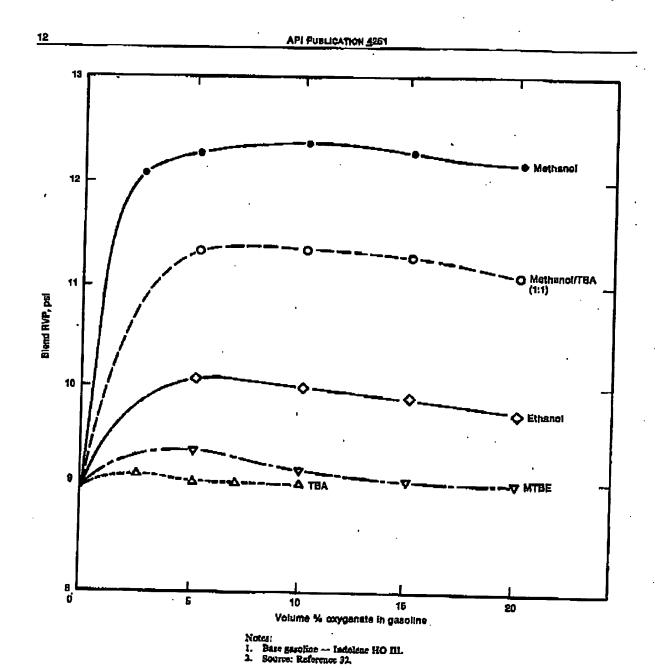


Figure 9—Effect of Oxygenate Concentration on Blend Vapor Pressure

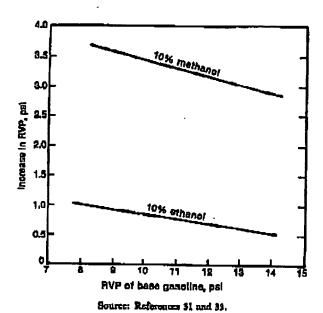


Figure 10-Effect of Base Gasoline RVP on RVP Boost Due to Alcohol Addition

Excessive vapor pressure may increase vehicle vapor lock and evaporative emissions as discussed in detail in Chapter 4. A gasoline volatility parameter, known as Vapor/Liquid (V/L) ratio, is a useful predictor of gasoline performance in a vehicle fuel system at high temperatures. The V/L ratio can be measured or it can be calculated for gasolines using a combination of distillation and vapor pressure characteristics.

Calculations of temperatures for specific V/L ratios of gasoline-alcohol blends using ASTM procedures developed for gasolines do not predict measured values. Figure 12 shows that the correlation of calculated versus measured temperatures for a V/L ratio of 20 ($T_{\rm V/L=20}$) for gasoline and gasoline with MTBE is quite good. ³⁶

Figure 13 shows how the addition of butane and alcohols changes the temperatures at which various V/L ratios occur. Higher temperatures for a given V/L ratio indicate better hot fuel handling properties. For example, the reference gasoline reaches a V/L ratio of 20 (the ratio that correlates best with vapor lock performance for most cars) at a temperature of 160°F. Adding 5 percent butane to the reference gasoline reduces the $T_{V/L=20}$ to 138°F, which is, coincidentally, the same

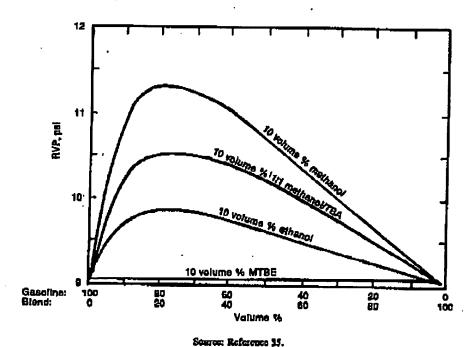


Figure 11—Effect of Comminging a Gasoline and a Gasoline-Oxygenate Bland of the Same RVP